

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Mark A. Smith et al

C nfirmation No.:

Application No.:

Examiner:

Filing Date: Herewith

Group Art Unit:

Title: Fluidic MEMS Device

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before  
mailing date of first office action on the merits; whichever occurs last)
- ( ) under 37 CFR 1.97 (c) together with either a:  
( ) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
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Please charge to Deposit Account **08-2025** the sum of \$0.00 . At any time during the  
pendency of this application, please charge any fees required or credit any overpayment to Deposit  
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(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with  
copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s)  
believe(s) may be material to the examination of this application and for which there may be a duty to  
disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications  
and other foreign language information listed on PTO Form 1449, as presently understood by the  
individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the  
attached sheet, or where a foreign language patent is cited in a search report or other action by a  
foreign patent office in a counterpart foreign application, an English language version of the search  
report or action which indicates the degree of relevance found by the foreign office is listed on form PTO  
1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- (X) I hereby certify that this correspondence is being  
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Typed Name: Timothy F. Myers

Signature: Timothy F. Myers

Respectfully submitted,

Mark A. Smith et al .

By Timothy F. Myers  
Timothy F. Myers

Attorney/Agent for Applicant(s)  
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Date: 7/15/03

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FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200210076-1

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

SMITH et al.

FILING DATE

GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A 6,556,261 B1	Apr 29, 2003	Krusius et al.	
	1B			
	1C			
	1D			
	1E			
	1F			
	1G			
	1H			
	1I			
	1J			
	1K			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L				
	1M				
	1N				
	1O				
	1P				

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	LCOS 2001 Industry Report; published June, 2001; Insight Media
1R	
1S	
EXAMINER	DATE CONSIDERED